



EDITION 2

GREEN PAPERS

usppi guidance for exporters

greenworldwide
SHIPPING

usppi export guidance

AM I THE U.S. PRINCIPLE PARTY IN INTEREST?

The USPPI, as defined in the Foreign Trade Regulations (FTR), is the person in the United States that receives the primary benefit, monetary or otherwise, of the export transaction.

For example, if you are the recipient of the purchase order from an overseas party for cargo that is exported, and you are invoicing them for the product, you are the USPPI, **no matter what the terms of sale are.**

TOP 3 COMMON MISTAKES MADE BY USPPIS

1. Not knowing if the product being exported is subject to an export license.
2. Not providing the correct and full Schedule B number of the exporting product.
3. Unclear INCOTERMS.

**for more info,
check out:**

WHAT IS AN EXPORT?

Any item that is sent from the United States to a foreign destination is an export.

U.S DEPARTMENT OF COMMERCE

A Guide to Export Licensing Requirements

UNITED STATES CENSUS BUREAU

Schedule B-2018

CONSOLIDATED SCREENINGS LIST

A list of parties for which the United States Government maintains restrictions on certain exports, reexports or transfers of items.

what are my responsibilities?

DETERMINE COMMODITY JURISDICTION

The USPPI is responsible in researching and determining if their commodity falls into any specific government jurisdictions.

Which U.S. Government Agencies control my product?

IS MY COMMODITY SUBJECT TO:

- Export Administration Regulations (EAR)
- Directorate of Defense Controls (DDTC)
- International Traffic and Arms Regulations (ITAR)
- Nuclear Regulatory Commission (NRC)
- Drug Enforcement Administration (DEA)
- Bureau of Alcohol and Tobacco & Firearms (ATF)

KNOW YOUR CUSTOMER

Perform due diligence on the end user(s); know their intended end use; and ensure that no party to the export transaction is on any of the U.S. Government's consolidated screening list (CSL) such as:

- Denied Persons List
- Unverified List
- Entity List
- Nonproliferation Sanctions
- AECA Debarred List
- Specially Designated Nationals List
- Foreign Sanctions Evaders List
- Sectoral Sanctions Identifications (SSI) List
- Palestinian Legislative Council (PLC) List
- The List of Foreign Financial Institutions Subject to Part 561
- Persons Identified as Blocked (PIB)

CLASSIFY PRODUCTS

License requirements are dependent upon an item's nical characteristics, ultimate destination, end- user, and end-use. Exporters must determine whether or not the product being exported requires a license or whether it qualifies for a license exception.

FILE ELECTRONIC EXPORT INFORMATION (EEI)

Authorize a forwarder to complete the EEI filing in the Automated Export System (AES) by signing a Power of Attorney (POA), or other written authorization such as a Shipper's Letter of Instruction (SLI).

POAs should specify the responsibilities of the parties with particularity and should state that the forwarder has the authority to act on behalf of the Principal Party in Interest as its true and lawful agent for purpose of filing the Electronic Export Information (EEI) in accordance with the laws and regulations of the U.S.

NOTE: On routed export transactions, authorization is the responsibility of the Foreign Principal Party in Interest (FPPI).

MAINTAIN SHIPMENT RECORDS

The USPPI is responsible for maintaining shipment records according to the regulations of the controlling Government Agency; typically, **5 years from the date of export.**

forwarder responsibilities

Obtain written authorization from the appropriate principal party in interest.

- Check government lists of restricted parties - this does not remove the responsibility from the USPPI.
- Complete and file Electronic Export Information (EEI) based on the information provided by the USPPI, if requested to do so by one of the principal parties.
- On request, provide the USPPI with a copy of the information transmitted to AES on their behalf.

THE FORWARDER RELIES ON THE ACCURACY OF THE INFORMATION PROVIDED BY THE USPPI, BUT IS RESPONSIBLE TO QUESTION ANY INFORMATION THAT MIGHT BE INCOMPLETE, OR SEEMINGLY CONTRADICTORY TO U.S. EXPORT REGULATIONS.

need help exporting?

CONTACT GREEN AT
INFO@GREENWORLDWIDE.COM



BOTTOM LINE,
we speak freight.

ISN'T TIME TO START MOVING
FREIGHT FORWARD?



GREEN WORLDWIDE SHIPPING
IS A *TECHNOLOGY-FORWARD*
PROVIDER OF INTERNATIONAL
SUPPLY CHAIN SERVICES.

EMPOWERING OUR FREIGHT
EXPERTS TO POWER YOUR FREIGHT.

PRIVATELY-OWNED,
TO BE EFFECTIVELY MANAGED.

